

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:
Date of Report:

Ethiopia

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?		YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (information refers to the page of the English version of the report or an official web site)
1	General statement on non-possession of WMD			
2	General statement on commitment to disarmament and non-proliferation			
3	General statement on non-provision of WMD and related materials to non-State actors			
4	Biological Weapons Convention (BWC)	X	Deposit 26 May 1975	
5	Chemical Weapons Convention (CWC)	X	Deposit 13 May 1996	
6	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 5 February 1970	

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 and 1673. They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations

7	Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Deposit 8 August 2006	
8	Convention on Physical Protection of Nuclear Material (CPPNM)			
9	Hague Code of Conduct (HCOC)	X	Subscribes	
10	Geneva Protocol of 1925	X	Deposit 7 October 1935	
11	International Atomic Energy Agency (IAEA)	X	Since 1957	
12	Nuclear Weapons Free Zone/ Protocol(s)	X	Treaty of Pelindaba, in force 13 March 2008	
13	Other Conventions/Treaties	X	State Party to 7 Conventions/Protocols on Counter-Terrorism	
14	Other Arrangements			
15	Other	X	Signed WCO Declaration on implementation of Framework of Standards to secure and facilitate global trade (SAFE Framework of Standards)	

OP 2 - Biological Weapons (BW)

State:
Date of Report:

Ethiopia

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	1. Constitution, Article 9 (4) (all international agreements ratified by Ethiopia are an integral part of the law of the land)- Re. BWC 2. BWC Ratificaton Law	?	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
2 acquire	X		?		
3 possess					
4 stockpile/store	X	1. Constitution, Article 9 (4) 2. BWC Ratificaton Law	?	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
5 develop	X				
6 transport			X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
7 transfer	X	1. Constitution, Article 9 (4) 2. BWC Ratificaton Law	X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
8 use	X		X	Criminal Code, Proclamation No. 414/2004, Arts. 495, 499	
9 participate as an accomplice in a.m. activities	X	1. Constitution, Article 9 (4) 2. International Convention for the Suppression of Terrorist Bombings, Art. 2 (terrorist intent)	X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
10 assist in a.m. activities	X		X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	

11	finance a.m. activities				
12	a.m. activities related to means of delivery	X	1. Constitution, Article 9 (4) 2. BWC Ratification Law		
13	involvement of non-State actors in a.m. activities	X	Criminal Code, Proclamation n. 414/2004, Arts. 34 and 90	X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)
14	Other				

OP 2 - Chemical Weapons (CW)

State:
Date of Report:

Ethiopia

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	1. Constitution, Article 9 (4) -re.CWC 2.ProclamationNo. 331/2003, Art. 8, Implementation of the CWC	X	1. Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances) 2. Proclamation No. 331/2003, Art. 13.4, Implementation of the CWC	
2 acquire	X				
3 possess			X	Proclamation No. 331/2003, Art. 13.4, Implementation of the CWC	
4 stockpile/store	X	1. Constitution, Article 9 (4) 2.ProclamationNo. 331/2003, Art. 8, Implementation of the CWC	X	Proclamation No. 331/2003, Art. 13.4, Implementation of the CWC	
5 develop	X				
6 transport			X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
7 transfer	X	1. Constitution, Article 9 (4) 2.ProclamationNo. 331/2003, Art. 8, Implementation of the CWC	X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
8 use	X				

9	participate as an accomplice in a.m. activities	X	1. Constitution, Article 9 (4) 2. ProclamationNo. 331/2003, Art. 8, Implementation of the CWC	X	Criminal Code, Proclamation n. 414/2004, Arts. 478, 500 (explosives, poisonous substances)	
10	assist in a.m. activities	X	1. Constitution, Article 9 (4) 2. ProclamationNo. 331/2003, Art. 8, Implementation of the CWC	X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
11	finance a.m. activities					
12	a.m. activities related to means of delivery					
13	involvement of non-State actors in a.m. activities	X	Criminal Code, Proclamation n. 414/2004, Arts. 34 and 90	X	Criminal Code, Proclamation No. 414/2004, Art. 500 (explosives, poisonous substances)	
14	Other					

OP 2 - Nuclear Weapons (NW)

State:
Date of Report:

Ethiopia
{date}

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	1. Constitution, Article 9 (4) (all international agreements ratified by Ethiopia are an integral part of the law of the land) - re. NPT	?	Criminal Code, Proclamation No. 414/2004, Arts. 481(1a) (illegal weapons)	
2 acquire	X	2. NPT Ratification Law	?		
3 possess	?	1. Constitution, Article 9 (4): 2. NPT Ratification Law	?	Criminal Code, Proclamation No. 414/2004, Arts. 481(2) (dangerous nuclear material)	
4 stockpile/store			?	Criminal Code, Proclamation No. 414/2004, Arts. 481(1a) (illegal weapons)	
5 develop					
6 transport			?	Criminal Code, Proclamation No. 414/2004, Arts. 481(1a) (illegal weapons)	

7	transfer	X	1. Constitution, Article 9 (4): All international agreements ratified by Ethiopia are an integral part of the law of the land. 2. NPT Ratification Law	?	Criminal Code, Proclamation No. 414/2004, Arts. 481(2) (dangerous nuclear material)	
8	use	X	1. Constitution, Article 9 (4) 2. International Convention for the Suppression of Terrorist Bombings, Art. 2 (terrorist intent)	?	Criminal Code, Proclamation No. 414/2004, Arts. 481(2) (dangerous nuclear material)	
9	participate as an accomplice in a.m. activities	X		?	Criminal Code, Proclamation No. 414/2004, Arts. 481(1a) (illegal weapons)	
10	assist in a.m. activities			?		
11	finance a.m. activities					
12	a.m. activities related to means of delivery					
13	involvement of non-State actors in a.m. activities	X	Criminal Code, Proclamation No. 414/2004, Arts. 34 and 90	?	Criminal Code, Proclamation No. 414/2004, Arts. 481(1a) (illegal weapons)	
14	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials

State:
Date of Report:

Ethiopia
{date}

	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Measures to account for production					
2	Measures to account for use					
3	Measures to account for storage					
4	Measures to account for transport					
5	Other measures for accounting					
6	Measures to secure production			X	Criminal Code, Proclamation No. 414/2004, Art. 500 (poisonous substances)	
7	Measures to secure use			X		
8	Measures to secure storage			X		
9	Measures to secure transport			X		
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/ transports					
12	Licensing/registration of facilities/persons handling biological materials					
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					

15	Regulations for genetic engineering work				
16	Other legislation/ regulations related to safety and security of biological materials				
17	Other				

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials

State:
Date of Report:

Ethiopia

	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Measures to account for production	X	ProclamationNo. 331/2003, Art.10 (2) Implementation of the CWC	X	ProclamationNo. 331/2003, Art.13 (1) Implementation of the CWC	
2	Measures to account for use	X		X		
3	Measures to account for storage	X		X		
4	Measures to account for transport	X		X		
5	Other measures for accounting					
6	Measures to secure production			X	Criminal Code, Proclamation No. 414/2004, Art. 500 (poisonous substances)	
7	Measures to secure use			X		
8	Measures to secure storage			X		
9	Measures to secure transport			X		
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/ transports					
12	Licensing of chemical installations/entities/use of materials					
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					

15	National CWC authority	X	Notification to the Director- General of 2 June 2008.	X	National Authority Advisory Board, Ministry of Trade & Industry	
16	Reporting Schedule I, II and III chemicals to OPCW					
17	Account for, secure or physically protect old chemical weapons					
18	Other legislation/ regulations controlling chemical materials					
19	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials

State:
Date of Report:
Date of Revision:

Ethiopia
{date}

	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Measures to account for production	X				
2	Measures to account for use	X	INFCIRC/261			
3	Measures to account for storage	X				
4	Measures to account for transport					
5	Other measures for accounting					
6	Measures to secure production					
7	Measures to secure use					
8	Measures to secure storage					
9	Measures to secure transport					
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/ transports					
12	Licensing of nuclear installations/entities/use of materials					
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					

15	National regulatory authority	?	Radiation Protection proclamation No.79/1993	?	National Radiation Protection Authority (NRPA)	
16	IAEA Safeguards Agreements	X	Safeguards Agreement, in force 02 December 1977			
17	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support to IAEA DG			
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	X	Participates in the programme			
19	Other Agreements related to IAEA					
20	Additional national legislation/regulations related to nuclear materials including CPPNM					
21	Other			?	Ministry of Science and Technology (IAEA matters)	

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of BW including Related Materials**

State:
Date of Report:

Ethiopia
{date}

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	Proclamation No. 60/1997, Chap. 3	X	Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64	
2	Technical support of border control measures					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology					
4	Enforcement agencies/authorities	X	Proclamation No. 60/1997, Art. 2	X	Customs Authority	
5	Export control legislation in place	X	1. Proclamation No. 60/1997, Chap. 9, Art. 58 2. Proclamation No. 368/2003 (prohibited and restricted goods)	X	Proclamation n. 60/1997, Sub-section 2 Chap. 1 Art, 64	
6	Licensing provisions					
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					
11	National licensing authority					
12	Interagency review for licenses					

13	Control lists				
14	Updating of lists				
15	Inclusion of technologies				
16	Inclusion of means of delivery				
17	End-user controls				
18	Catch all clause				
19	Intangible transfers				
20	Transit control	X	Proclamation No. 60/1997, Chap. 9, Art. 58	X	Proclamation n. 60/1997, Sub-section 2 Chap. 1 Art, 64
21	Trans-shipment control				
22	Re-export control				
23	Control of providing funds				
24	Control of providing transport services	X	Proclamation No. 60/1997, Chap. 2, Art. 16	X	Proclamation n. 60/1997, Chap. 2, Art. 74
25	Control of importation	X	Proclamation No. 60/1997, Chap. 9, Art. 58	X	1. Criminal Code, Proclamation No. 414/2004, Art. 500 2. Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64
26	Extraterritorial applicability	X	Criminal Code, Proclamation No. 414/2004, Arts. 13, 14 and 17		
27	Other				

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of CW including Related Materials**

State:
Date of Report:

Ethiopia
{date}

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	Proclamation No. 60/1997, Chap. 3	X	Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64	
2	Technical support of border control measures					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology					
4	Enforcement agencies/authorities	X	Proclamation No. 60/1997, Art. 2	X	Customs Authority	
5	Export control legislation in place	X	1. Proclamation No. 60/1997, Chap. 9, Art. 58 2. Proclamation No. 368/2003 (prohibited and restricted goods)	X	Proclamation n. 60/1997, Sub-section 2 Chap. 1 Art, 64	
6	Licensing provisions					
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					
11	National licensing authority					
12	Interagency review for licenses					

13	Control lists				
14	Updating of lists				
15	Inclusion of technologies				
16	Inclusion of means of delivery				
17	End-user controls				
18	Catch all clause				
19	Intangible transfers				
20	Transit control	X	Proclamation No. 60/1997, Chap. 9, Art. 58	X	Proclamation n. 60/1997, Sub-section 2 Chap. 1 Art, 64
21	Trans-shipment control				
22	Re-export control				
23	Control of providing funds				
24	Control of providing transport services	X	Proclamation No. 60/1997, Chap. 2, Art. 16	X	Proclamation n. 60/1997, Chap. 2, Art. 74
25	Control of importation	X	Proclamation No. 60/1997, Chap. 9, Art. 58		1. Criminal Code, Proclamation No. 414/2004, Art. 500 2. Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64
26	Extraterritorial applicability	X	Criminal Code, Proclamation No. 414/2004, Arts. 13, 14 and 17		
27	Other				

**OP 3 (c) and (d) and related matters from OP 6, and OP 10 -
Controls of NW including Related Materials**

State:
Date of Report:

Ethiopia
{date}

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW and Related Materials ? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	Proclamation No. 60/1997, Chap. 3	X	Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64	
2	Technical support of border control measures					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology					
4	Enforcement agencies/authorities	X	Proclamation No. 60/1997, Art. 2	X	Customs Authority	
5	Export control legislation in place	X	1. Proclamation No. 60/1997, Chap. 9, Art. 58 2. Proclamation No. 368/2003 (prohibited and restricted goods)	X	Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64	
6	Licensing provisions					
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					

11	National licensing authority	X	National Radiation Protection Authority (NRPA)			
12	Interagency review for licenses					
13	Control lists					
14	Updating of lists					
15	Inclusion of technologies					
16	Inclusion of means of delivery					
17	End-user controls					
18	Catch all clause					
19	Intangible transfers					
20	Transit control	X	Proclamation No. 60/1997, Chap. 9, Art. 58	X	Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64	
21	Trans-shipment control					
22	Re-export control					
23	Control of providing funds					
24	Control of providing transport services	X	Proclamation No. 60/1997, Chap. 2, Art. 16	X	Proclamation No. 60/1997, Chap. 2, Art. 74	
25	Control of importation	X	Proclamation No. 60/1997, Chap. 9, Art. 58	X	1. Criminal Code, Proclamation NO. 414/2004, Art. 481 (1a) (illegal weapons) 2. Proclamation No. 60/1997, Sub-section 2 Chap. 1 Art, 64	
26	Extraterritorial applicability	X	Criminal Code, Proclamation No. 414/2004, Arts. 13, 14 and 17			

27	Other			X	Supplementary Guidance on the Import and Export of Radioactive Sources	
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OP 6, 7 and 8 (d) - Control lists, Assistance, Information

State:
Date of Report:

Ethiopia
{date}

Can information be provided on the following issues ?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3	Assistance offered			
4	Assistance requested			
5	Assistance in place (bilateral/plurilateral/multilateral)			
6	Information for industry			
7	Information for the public			