

**OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10**

**Lao People's  
Democratic Republic**

State:  
Date of Report:

3 May 2005

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?	YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD	X	Has no capability nor intention to produce, use or possess, transport or deliver nuclear, chemical or biological weapons to any State or non-State actor	
2 General statement on commitment to disarmament and non-proliferation	X	Supports UNSCR 1540 which is in line with our policy of disarmament and non-proliferation of weapons of mass destruction especially nuclear, chemical and biological	
3 General statement on non-provision of WMD and related materials to non-State actors	X	Has no capability nor intention to produce, use or possess, transport or deliver nuclear, chemical or biological weapons to any State or non-State actor	
4 Biological Weapons Convention (BWC)	X	Ratified 25 April 1973	
5 Chemical Weapons Convention (CWC)	X	Ratified 25 February 1997	
6 Nuclear Non-Proliferation Treaty (NPT)	X	Ratified 05 March 1970	

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 and 1673. They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations

7	Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Ratified 05 October 2000	
8	Convention on Physical Protection of Nuclear Material (CPPNM)			
9	Hague Code of Conduct (HCOC)			
10	Geneva Protocol of 1925	X	Deposit 20 May 1989	
11	International Atomic Energy Agency (IAEA)			
12	Nuclear Weapons Free Zone/ Protocol(s)	X	Southeast Asia Nuclear Weapon Free Zone Treaty, ratified 24 June 1996	
13	Other Conventions/Treaties	X	Acceded to the International Convention for the Suppression of Terrorist Bombings on 22 August 2002 and to the International Convention for the Suppression of the Financing of Terrorism on 29 September 2008	
14	Other Arrangements	X	Agreement on inland transit goods with Vietnam and Thailand	
15	Other			

## OP 2 - Biological Weapons (BW)

Lao People's  
Democratic Republic

State:  
Date of Report:

3 May 2005

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce			X	Penal Code, Article 76: "Weapons of war"	
2 acquire			X	Penal Code, Arts. 77-78: "Weapons of war" with "purchasing" or "stealing"	
3 possess			X	Penal Code, Article 76: "Weapons of war"	
4 stockpile/store					
5 develop					
6 transport					
7 transfer			X	Penal Code, Article 77: "Weapons of war" with "purchasing"	
8 use			X	Penal Code 2005, Art. 61	
9 participate as an accomplice in a.m. activities					
10 assist in a.m. activities					
11 finance a.m. activities					
12 a.m. activities related to means of delivery					
13 involvement of non-State actors in a.m. activities					
14 Other			?	Drafting committee in the process of amending Penal Law to cover crimes of terrorist acts involving the use of nuclear, chemical and biological weapons	

## OP 2 - Chemical Weapons (CW)

Lao People's  
Democratic Republic

State:  
Date of Report:

3 May 2005

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce			X	Penal Code 2005, Art. 80	
2 acquire			X	Penal Code 2005, Art. 80 "purchase"	
3 possess			X	Penal Code 2005, Art. 80	
4 stockpile/store					
5 develop					
6 transport			X	Penal Code 2005, Art. 80	
7 transfer			X	Penal Code 2005, Art. 80: "hand over" or "exchange"	
8 use			X	Penal Code 2005, Art. 80	
9 participate as an accomplice in a.m. activities					
10 assist in a.m. activities					
11 finance a.m. activities					
12 a.m. activities related to means of delivery					
13 involvement of non-State actors in a.m. activities					
14 Other			?	Drafting committee in the process of amending Penal Law to cover crimes of terrorist acts involving the use of nuclear, chemical and biological weapons	

## OP 2 - Nuclear Weapons (NW)

Lao People's  
Democratic Republic

State:  
Date of Report:

3 May 2005

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	?	Bangkok Treaty, Art 3	X	Penal Code, Article 76: "Weapons of war"	
2 acquire	?		X	Penal Code, Arts. 77-78: "Weapons of war" with "purchasing" or "stealing"	
3 possess			X	Penal Code, Article 76: "Weapons of war"	
4 stockpile/store					
5 develop	?	Bangkok Treaty, Art 3			
6 transport	?				
7 transfer	?		X	Penal Code, Article 77: "Weapons of war" with "purchasing"	
8 use			X	Penal Code 2005, Art. 61	
9 participate as an accomplice in a.m. activities					
10 assist in a.m. activities					
11 finance a.m. activities					
12 a.m. activities related to means of delivery					
13 involvement of non-State actors in a.m. activities					
14 Other			?	Drafting committee in the process of amending Penal Law to cover crimes of terrorist acts involving the use of nuclear, chemical and biological weapons	

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials**

State:

**Lao People's  
Democratic Republic**

Date of Report:

3 May 2005

	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Measures to account for production					
2	Measures to account for use					
3	Measures to account for storage					
4	Measures to account for transport	?	Land Transport Law 1997, Arts. 23 and 33	?	Land Transport Law 1997, Arts. 42-45	
5	Other measures for accounting					
6	Measures to secure production					
7	Measures to secure use					
8	Measures to secure storage					
9	Measures to secure transport	?	Land Transport Law 1997, Arts. 23 and 33	?	1. Land Transport Law 1997, Arts. 42-45 . Minister of Communication, Transport, Post and Construction is responsible for monitoring domestic transport of hazardous goods	
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/ transports					
12	Licensing/registration of facilities/persons handling biological materials					
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					
15	Regulations for genetic engineering work					

16	Other legislation/ regulations related to safety and security of biological materials				
17	Other				

**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials**

State:

**Lao People's  
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3 May 2005

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X	Environmental Protection Law 1999, Art. 23	X	Environmental Protection Law 1999, Arts. 44-49	
2 Measures to account for use	X				
3 Measures to account for storage	X				
4 Measures to account for transport	X	Land Transport Law 1997, Arts. 23 and 33: Specifically applies to hazardous chemicals	X	Land Transport Law 1997, Arts. 42-45	
5 Other measures for accounting					
6 Measures to secure production					
7 Measures to secure use					
8 Measures to secure storage					
9 Measures to secure transport	X	Land Transport Law 1997, Arts. 23 and 33: Specifically applies to hazardous chemicals	X	1. Land Transport Law 1997, Arts. 42-45 2. Minister of Communication, Transport, Post and Construction is responsible for monitoring domestic transport of hazardous goods	
10 Other measures for securing					
11 Regulations for physical protection of facilities/materials/ transports					
12 Licensing of chemical installations/entities/use of materials					
13 Reliability check of personnel					
14 Measures to account for/secure/physically protect means of delivery					



15	National CWC authority	X	National Authority Department of International Organisations Ministry of Foreign Affairs Address That Louang Road Vientiane	X	National Authority Department of International Organisations Ministry of Foreign Affairs Address That Louang Road Vientiane	
16	Reporting Schedule I, II and III chemicals to OPCW					
17	Account for, secure or physically protect old chemical weapons					
18	Other legislation/ regulations controlling chemical materials					
19	Other	?	The Central Commission on Monitoring Arms and Ammunitions has submitted to Parliament for ratification an amendment of the Law on Aggravation of the Punishment of Arms and Munitions Smuggling, which suggests aggravated penalties against producers and holders of illicit ... chemical ....gases.			

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials**

State:

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Date of Report:

3 May 2005

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Measures to account for production	X	1. INFCIRC/599 2. Environmental Protection Law, Art. 23	X	Environmental Protection Law 1999, Arts. 44-49	
2	Measures to account for use	X		X		
3	Measures to account for storage	X		X		
4	Measures to account for transport	?	Land Transport Law 1997, Arts. 23 and 33	?	Land Transport Law 1997, Arts. 42-45	
5	Other measures for accounting					
6	Measures to secure production					
7	Measures to secure use					
8	Measures to secure storage					
9	Measures to secure transport	?	Land Transport Law 1997, Arts. 23 and 33	?	1. Land Transport Law 1997, Arts. 42-45 2. Minister of Communication, Transport, Post and Construction is responsible for monitoring domestic transport of hazardous goods	
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/ transports					
12	Licensing of nuclear installations/entities/use of materials					
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					

15	National regulatory authority				
16	IAEA Safeguards Agreements	X	Safeguards Agreement in force 05 April 2001	X	Safeguards Agreement in force 05 April 2001
17	IAEA Code of Conduct on Safety and Security of Radioactive Sources				
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources				
19	Other Agreements related to IAEA				
20	Additional national legislation/regulations related to nuclear materials including CPPNM				
21	Other				

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -  
Controls of BW including Related Materials**

**Lao People's  
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State:  
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3 May 2005

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	Customs Law 2005, Art. 4 and 14-16	X	1. Customs Law 2005, Arts. 50-57 and 91-93 2. Custom officers at border checkpoints are responsible for verifying the quantity, types and origin of imported and exported goods prescribed in the license issued by relevant authorities	
2 Technical support of border control measures	X	X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	X	X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology					
4 Enforcement agencies/authorities	X	Customs Law 2005, Art. 4 and 70-80	X	The border control agencies include Customs, Immigration and Health Quarantine Officers	

5	Export control legislation in place	X	Prime Minister's Decree No. 205/PM of 11 October 2001	X	1. Prime Minister's Decree No. 205/PM of 11 October 2001 2. Customs Law, Art 92 3. Ministry of Commerce is responsible for general inspection and issuance of license for prohibited imported and exported goods	
6	Licensing provisions	X				
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					
11	National licensing authority	X	Minister of Commerce (Regulation of the Minister of Commerce No. 106/MOC.FTD of 25 January 2002	?	Agencies with licensing competence include Department of Import and Export, the Department of Industry or the Provincial and Vientiane Capital Industry and Commerce Offices, the Ministry of Industry and Commerce; Department of Plantation, the Department of Forestry or the Department of Agriculture, Ministry of Agriculture and Forestry; Department of National Defense Industry, the Ministry of National Defense; Department of Monetary Policy, the Bank of Lao PDR; Department of Mines, the Ministry of Energy and Mines; Department of Publication or the Department of Mass Culture, Ministry of Information and Culture; and Department of Telecommunication and Internet, the Post and Telecommunication Authority	
12	Interagency review for licenses					
13	Control lists			?	Ministry of Industry and Commerce Notification No. 2151 "On the List of Controlled Goods Subject to Non-Automatic Import and Export Licensing in Lao PDR" of 30 October 2009	
14	Updating of lists					

Matrix as approved by the 1540 Committee on 24 November 2010

15	Inclusion of technologies				
16	Inclusion of means of delivery				
17	End-user controls				
18	Catch all clause				
19	Intangible transfers				
20	Transit control	X	1. Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior authorization 2. Customs Law 2005, Arts. 31-33	X	Ministry of Communication, Transport, Post and Construction is responsible for monitoring domestic and transit transport of goods, especially the prohibited and hazardous ones
21	Trans-shipment control				
22	Re-export control				
23	Control of providing funds				
24	Control of providing transport services				
25	Control of importation	X	1. Prime Minister's Decree No. 180 "On Import Licensing Procedures" of 7 July 2009 2. Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior authorization 3. Customs Law 2005, Arts. 14-16	X	Customs Law 2005, Arts. 91-93
26	Extraterritorial applicability				
27	Other				

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -  
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	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	Customs Law 2005, Art. 4 and 14-16	X	1. Customs Law 2005, Arts. 50-57 and 91-93 2. Custom officers at border checkpoints are responsible for verifying the quantity, types and origin of imported and exported goods prescribed in the license issued by relevant authorities	
2	Technical support of border control measures	X	X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	X	X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology					
4	Enforcement agencies/authorities	X	Customs Law 2005, Art. 4 and 70-80	X	The border control agencies include Customs, Immigration and Health Quarantine Officers	

5	Export control legislation in place	X	Prime Minister's Decree No. 205/PM of 11 October 2001	X	1. Prime Minister's Decree No. 205/PM of 11 October 2001 2. Customs Law, Art 92 3. Ministry of Commerce is responsible for general inspection and issuance of license for prohibited imported and exported goods	
6	Licensing provisions	X				
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					
11	National licensing authority	X	Minister of Commerce (Regulation of the Minister of Commerce No. 106/MOC.FTD of 25 January 2002	?	Agencies with licensing competence include Department of Import and Export, the Department of Industry or the Provincial and Vientiane Capital Industry and Commerce Offices, the Ministry of Industry and Commerce; Department of Plantation, the Department of Forestry or the Department of Agriculture, Ministry of Agriculture and Forestry; Department of National Defense Industry, the Ministry of National Defense; Department of Monetary Policy, the Bank of Lao PDR; Department of Mines, the Ministry of Energy and Mines; Department of Publication or the Department of Mass Culture, Ministry of Information and Culture; and Department of Telecommunication and Internet, the Post and Telecommunication Authority	
12	Interagency review for licenses					



13	Control lists	X	Notification of the Minister of Commerce No. 0284/MOC.FTD of 17 March 2004: import/export list, chemicals	?	Ministry of Industry and Commerce Notification No. 2151 "On the List of Controlled Goods Subject to Non-Automatic Import and Export Licensing in Lao PDR" of 30 October 2009	
14	Updating of lists					
15	Inclusion of technologies					
16	Inclusion of means of delivery					
17	End-user controls					
18	Catch all clause					
19	Intangible transfers					
20	Transit control	X	1. Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior authorization 2. Customs Law 2005, Arts. 31-33	X	Ministry of Communication, Transport, Post and Construction is responsible for monitoring domestic and transit transport of goods, especially the prohibited and hazardous ones	
21	Trans-shipment control					
22	Re-export control					
23	Control of providing funds					
24	Control of providing transport services					
25	Control of importation	X	1. Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior authorization 2. Customs Law 2005, Arts. 14-16 3. Environmental Protection Law, Art. 23	X	1. Customs Law 2005, Arts. 91-93 2. Environmental Protection Law, Arts. 44-49	
26	Extraterritorial applicability					
27	Other					

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		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	Customs Law 2005, Art. 4 and 14-16	X	1. Customs Law 2005, Arts. 50-57 and 91-93 2. Custom officers at border checkpoints are responsible for verifying the quantity, types and origin of imported and exported goods prescribed in the license issued by relevant authorities	
2	Technical support of border control measures	X	X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	X	X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology					
4	Enforcement agencies/authorities	X	Customs Law 2005, Art. 4 and 70-80	X	The border control agencies include Customs, Immigration and Health Quarantine Officers	

5	Export control legislation in place	X	Prime Minister's Decree No. 205/PM of 11 October 2001	X	1. Prime Minister's Decree No. 205/PM of 11 October 2001 2. Customs Law, Art 92 3. Ministry of Commerce is responsible for general inspection and issuance of license for prohibited imported and exported goods	
6	Licensing provisions	X				
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					
11	National licensing authority	X	Minister of Commerce (Regulation of the Minister of Commerce No. 106/MOC.FTD of 25 January 2002	?	Agencies with licensing competence include Department of Import and Export, the Department of Industry or the Provincial and Vientiane Capital Industry and Commerce Offices, the Ministry of Industry and Commerce; Department of Plantation, the Department of Forestry or the Department of Agriculture, Ministry of Agriculture and Forestry; Department of National Defense Industry, the Ministry of National Defense; Department of Monetary Policy, the Bank of Lao PDR; Department of Mines, the Ministry of Energy and Mines; Department of Publication or the Department of Mass Culture, Ministry of Information and Culture; and Department of Telecommunication and Internet, the Post and Telecommunication Authority	
12	Interagency review for licenses					

13	Control lists	?	Notification of the Minister of Commerce No. 0284/MOC.FTD of 17 March 2004: import/export list, chemicals	?	Ministry of Industry and Commerce Notification No. 2151 "On the List of Controlled Goods Subject to Non-Automatic Import and Export Licensing in Lao PDR" of 30 October 2009	
14	Updating of lists					
15	Inclusion of technologies					
16	Inclusion of means of delivery					
17	End-user controls					
18	Catch all clause					
19	Intangible transfers					
20	Transit control	X	1. Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior authorization 2. Customs Law 2005, Arts. 31-33	X	Ministry of Communication, Transport, Post and Construction is responsible for monitoring domestic and transit transport of goods, especially the prohibited and hazardous ones	
21	Trans-shipment control					
22	Re-export control					
23	Control of providing funds					
24	Control of providing transport services					
25	Control of importation	X	1. Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior authorization 2. Customs Law 2005, Arts. 14-16 3. Environmental Protection Law, Art. 23	X	1. Customs Law 2005, Arts. 91-93 2. Environmental Protection Law, Arts. 44-49	
26	Extraterritorial applicability					
27	Other					

## OP 6, 7 and 8 (d) - Control lists, Assistance, Information

State:

**Lao People's  
Democratic Republic**

Date of Report:

**3 May 2005**

Can information be provided on the following issues ?	YES		Remarks
1 Control lists - items (goods/equipment/materials/technologies)			
2 Control lists - other	<b>X</b>	Ministry of Industry and Commerce Notification No. 2151 "On the List of Controlled Goods Subject to Non-Automatic Import and Export Licensing in Lao PDR" of 30 October 2009	
3 Assistance offered			
4 Assistance requested	<b>?</b>	Regional approaches through ASEAN will be important	
5 Assistance in place (bilateral/plurilateral/multilateral)			
6 Information for industry	<b>X</b>	Ministry of Foreign Affairs in collaboration with the Ministry of Economic Trade and Industry of Japan jointly organized a seminar on export control on 7 Feb 2005 in Vientianne, Lao PDR	
7 Information for the public			